

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
Minutes of the March 4, 2021 Meeting

WebEx Meeting

Attendees	
Trustees/ Directors:	Chair: M. Boxer, A. Dennis-LaVigne, B. Pollard, D. Toscano, F. Archambault, J. Gouin, R. Carbray, T. Holt
Staff:	A. Agwunobi, O. Andujar, N. Baker, C. Bernard, C. Bianchi, J. Blumenthal, K. Buffkin, W. Byerly, P. Casey, R. Corbett, A. Cunningham, T. Dominguez, T. Dyer, K. Fearney, M. Frank, N. Fuerst, E. Gallo, N. Gelston, M. Gendreau, J. Geoghegan, K. Goss, C. Gray, S. Guralnick, L. Hansen, H. Hildebrandt, K. Hill, D. Hook, S. Jordan, T. Katsouleas, A. Keilty, M. Kennedy, F. LaRosa, M. Lucas, L. Neal, S. O'Donovan, G. Perrotti, C. Podesta, A. Quaresima, K. Rourke, R. Rubin, J. Shoulson, J. Simpson, J. Sullivan, E. Vitullo, B. Woodaman, E. Zincavage
State Auditors	W. Felgate, A. Phung, M. Delaney
CohnReznick	C. Kurth, A. Marsh. R. Lyman

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:02 a.m. by Committee Chair Boxer.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Pollard and seconded by Trustee Archambault the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

Executive Session was attended by the following: JACC, BOT and BOD members: M. Boxer, A. Dennis-LaVigne, B. Pollard, D. Toscano, F. Archambault, J. Gouin, R. Carbray, T. Holt; **President and Cabinet members:** A. Agwunobi, N. Fuerst, N. Gelston, R. Rubin, S. Jordan, T. Katsouleas **Senior Staff:**, W. Byerly; A. Keilty, J. Simpson, J. Blumenthal, J. Geoghegan, J. Shoulson, P. Casey; **AMAS Staff:** T. Dyer, M. Gendreau, E. Gallo, K. Goss, M. Kennedy, F. LaRosa, G. Perrotti, A. Quaresima, E. Zincavage; **University Compliance Staff** O. Andujar, K. Fearney, S. Guralnick, K. Hill, L. Neal, E. Vitullo; **Portions of Executive Session were also attended by:** C. Bernard, C. Gray, C. Podesta

The Executive Session ended at 10:56 a.m., the JACC returned to public session at 10:58 a.m.

Tab 1 - General

There were no public comments.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Pollard and seconded by Trustee Gouin the minutes of the December 16, 2020 JACC meeting were approved.

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
Minutes of the March 4, 2021 Meeting

WebEx Meeting

Tab 2 – External Audit Activities

F. LaRosa provided an update on the ongoing external engagements.

C. Kurth from CohnReznick presented the four reports related to the UCONN 2000 Construction Projects.

A. Phung from the Auditors of Public Accounts presented the UConn and UConn Health financial statements for the fiscal year ended June 30, 2020.

Tab 3 – Significant Internal Audit Activities

F. LaRosa provided an update on the status of audit assignments.

F. LaRosa provided an update on the status of audit findings.

Tab 4 – Compliance Activities

K. Fearney provided an update on compliance activities.

K. Fearney provided an update on university compliance data and trends.

Tab 5 – Information Technology Updates

C. Podesta provided an update on UConn Health IT projects.

C. Bernard provided an update on the UConn IT projects.

There being no further business, **ON A MOTION** made by Committee Chair Boxer, approved by Director Carbray and seconded by Trustee Gouin, the meeting was adjourned at 11:25 a.m.

Respectfully submitted,
Molly Kennedy