

MINUTES

University of Connecticut & UConn Health Joint Audit & Compliance Committee

March 27, 2025
Virtual Meeting

Committee Members	<u>Board of Trustees</u> Mark Boxer, Andrea Dennis-LaVigne, Jeanine Gouin, Daniel Toscano <u>UConn Health Board of Directors</u> Francis Archambault, Jr., Rick Carbray, Jr.
University Staff	Radenka Maric, Andrew Agwunobi, Scott Allen, Donald Babcock, Sarah Croucher, Anne D'Alleva, Michael Dwyer, Kimberly Fearney, Ann Fiorvanti, Nicole Gelston, Jeffrey Geoghegan, Haleh Ghaemolsabahi, Kimberly Hill, Andrea Keilty, Stacy Koehler, Peggy McCarthy, Rick McCarthy, Claire Murray, Maureen O'Connor, Gregory Perrotti, Jennifer Przybyszewski, Angelo Quaresima, Rachel Rubin, David Wallace, and Michelle Williams

Vice-Chair Boxer convened the Committee at 10:08 a.m.

1. Executive Session

On a motion by Trustee Carbray, seconded by Director Archambault, the Committee voted unanimously to go into the Executive Session to discuss:

- C.G.S. 1-210(b)(1) – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure; and
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims; and
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship; and
- C.G.S. 1-210(b)(20) – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

The entire Executive Session was attended by the following:

Committee members: Boxer, Archambault, Carbray, Dennis-LaVigne, Gouin, and Toscano.

University Staff: Maric, Agwunobi, D'Alleva, Fearney, Gelston, Geoghegan, Hill, Koehler, Murray, Perrotti, Quaresima, Rubin, and Williams.

The following University staff were in attendance for part of the Executive Session: Babcock, Dwyer, Ghaemolsabahi, Keilty, R. McCarthy, and Wallace.

The Executive Session ended at 11:10 a.m., and the Committee returned to the Open Session at 11:12 a.m.

2. Public Participation

No members of the public signed up to address the Committee.

3. Minutes of December 19, 2024, Meeting

On a motion by Trustee Dennis-LaVigne, seconded by Director Archambault, the Committee voted to unanimously approve the minutes of the December 19, 2024, Meeting.

4. External Audit Activities

Associate Vice President and Chief Audit Executive Quaresima provided an update on the status of external audit engagements.

5. Significant Internal Audit Activities

Mr. Quaresima provided an update on the status of internal audits. The Committee reviewed and accepted five audit reports. The Committee was updated on the status of internal audit observations.

6. Compliance Activities

Associate Vice President and Chief Compliance Officer Fearney presented the Committee with an update on significant compliance activities, the Healthcare Compliance and Privacy Dashboard and provided several informational/educational items.

7. Information Technology Updates

Interim Vice President and Chief Information Officer Ghaemolsabahi provided an update on the UConn information technology activities.

Chief Information Officer McCarthy provided an update on UConn Health information technology activities.

8. Other Business

There was no Other Business.

9. Adjournment

On a motion by Trustee Toscano, seconded by Trustee Dennis-LaVigne, the Committee voted unanimously to adjourn the meeting. The Committee adjourned at 11:22 a.m.

Respectfully submitted,
Karen Violette