

DRAFT MINUTES

University of Connecticut & UConn Health Joint Audit & Compliance Committee

March 26, 2026
Virtual Meeting

Committee Members	<u>Board of Trustees</u> Mark Boxer, Andrea Dennis-LaVigne, Daniel Toscano <u>UConn Health Board of Directors</u> Francis Archambault, Jr., Geoffrey Matous
University Staff	Andrew Agwunobi, Donald Babcock, Kimberly Fearney, Nicole Gelston, Jeffrey Geoghegan, Kimberly Hill, Philip Hunt, Andrea Keilty, Stacy Koehler, Eric Kruger, Ted Laskaris, Radenka Maric, Margaret McCarthy, Rick McCarthy, Stanley Nolan, Gregory Perrotti, Karen Preacher, Angelo Quaresima, Lesley Salafia, Janel Simpson, Scott Simpson, David Wallace, Reka Wrynn
External Invitees	Patrick Quinn, Brian Sullivan

Vice-Chair Boxer convened the Committee at 10:02 a.m.

1. Executive Session

On a motion by Director Archambault, seconded by Director Matous, the Committee voted unanimously to go into the Executive Session to discuss:

- C.G.S. 1-210(b)(1) – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure; and
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims; and
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship; and
- C.G.S. 1-210(b)(20) – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

The entire Executive Session was attended by the following:

University Staff: Agwunobi, Fearney, Gelston, Geoghegan, Hill, Hunt, Keilty, Koehler, Maric, M. McCarthy, Perrotti, Preacher, Quaresima, J. Simpson, S. Simpson, and Wrynn.

Committee members: Archambault, Boxer, Dennis-LaVigne, Matous, and Toscano.

The following University staff were in attendance for part of the Executive Session: Babcock, Kruger, Laskaris, R. McCarthy, Nolan, Salafia, and Wallace.

The Executive Session ended at 11:08 a.m., and the Committee returned to the Open Session at 11:09 a.m.

2. Public Participation

No members of the public signed up to address the Committee.

3. Minutes of December 11, 2025, Meeting

On a motion by Director Archambault, seconded by Director Matous, the Committee voted to unanimously approve the minutes of the December 11, 2025, meeting.

4. External Audit Activities

Associate Vice President and Chief Audit Executive Quaresima provided an update on the status of external audit engagements.

Brian Sullivan from CBIZ CPAs P.C. presented the Committee with the UConn 2000 Infrastructure Program Agreed Upon Procedures Report for the Fiscal Year Ending June 30, 2025.

On a motion by Director Archambault, seconded by Director Matous, the Committee voted to unanimously approve the extension appointment of Clinton Larson Allen, LLP (CLA) for UConn Health audit services for fiscal year ending June 30, 2026.

5. Significant Internal Audit Activities

Mr. Quaresima provided the Committee with updates on the status of audit assignments, the status of audit observations, AMAS Organizational Chart/Staffing and the AMAS Strategic plan. Mr. Quaresima also informed the Committee that AMAS is projected to finish fiscal year ended June 30, 2026 under budget.

The Committee reviewed and accepted five audit reports.

6. Compliance Activities

Associate Vice President and Chief Compliance Officer Fearney provided the Committee with updates on significant compliance activities, including updates on University HealthCare Compliance and Privacy Dashboard, CT 2026 workplan as well as several informational/educational items.

7. Information Technology Updates

Interim Vice President and Chief Information Officer Laskaris provided an update on the UConn information technology activities.

UConn Health Chief Information Officer R. McCarthy provided an update on UConn Health information technology activities.

8. Other Business

There was no Other Business.

9. Adjournment

On a motion by Trustee Dennis-LaVigne, seconded by Director Archambault, the Committee voted unanimously to adjourn the meeting. The Committee adjourned at 11:21 a.m.

Respectfully submitted,
Dorothy Del Valle