

DRAFT MINUTES

University of Connecticut & UConn Health Joint Audit & Compliance Committee

**June 25, 2026
Virtual Meeting**

Committee Members	<u>Board of Trustees</u> Mark Boxer, George Barrios, Andrea Dennis-LaVigne, Daniel Toscano <u>UConn Health Board of Directors</u> Geoffrey Matous
University Staff	Donald Babcock, Chad Bianchi, Sarah Chipman, Mike Dwyer, Kimberly Fearney, Nicole Gelston, Jeffrey Geoghegan, Haleh Ghaemolsabahi, Kimberly Hill, Jeff Hines, Andrea Keilty, Stacy Koehler, Steve Lewposky, Radenka Maric, Rick McCarthy, Gregory Perrotti, Karen Preacher, Angelo Quaresima, Anthony Rini, Janel Simpson, Scott Simpson, David Wallace
External Invitees	Tina Bode and Tyler Bartlett

Vice-Chair Boxer convened the Committee at 10:00 a.m.

1. Executive Session

On a motion by Vice-Chair Boxer, seconded by Trustee Dennis-LaVigne, the Committee voted unanimously to go into the Executive Session to discuss:

- C.G.S. 1-210(b)(1) – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure; and
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims; and
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship; and
- C.G.S. 1-210(b)(20) – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

The entire Executive Session was attended by the following:

University Staff: Fearney, Gelston, Geoghegan, Hill, Keilty, Koehler, Maric, Perrotti, Preacher, Quaresima, Rini, J. Simpson, and S. Simpson.

Committee members: Boxer, Barrios, Dennis-LaVigne, Matous, and Toscano.

The following University staff were in attendance for part of the Executive Session: Babcock, Ghaemolsabahi, R. McCarthy, and Wallace.

The Executive Session ended at 10:58 a.m., and the Committee returned to the Open Session at 10:59 a.m.

2. Public Participation

No members of the public signed up to address the Committee.

3. Minutes of March 26 and Special Meeting of June 3, 2026.

On a motion by Director Matous, seconded by Trustee Dennis-LaVigne, the Committee voted to unanimously approve the minutes of March 26 and Special Meeting of June 3, 2026.

4. External Audit Activities

Associate Vice President and Chief Audit Executive Quaresima provided an update on the status of external audit engagements.

Kevin Chamberlin presented the Committee with updates for the Pharmacy Consultants, Inc. (DBA 340B Compliance Partners) – UConn Health 340B Drug Pricing Program Audits for CY 2025.

5. Significant Internal Audit Activities

Mr. Quaresima provided the Committee with updates on the status of audit assignments. The Committee reviewed and accepted the three audit reports. The Committee was also updated on the AMAS dashboard, status of audit assignments, internal audit observations, and AMAS Organizational Chart/Staffing.

6. Compliance Activities

Sarah Chipman provided the Committee with an overview of OICR's civil rights compliance roles at UConn and UConn Health.

Vice President and Chief Compliance Officer Fearney provided the Committee with updates on significant compliance activities, including updates on University Healthcare Compliance and Privacy Dashboard, as well as several informational/educational items.

7. Information Technology Updates

Associate Vice President for Information Technology Ghaemolsabahi provided an update on the UConn information technology activities.

UConn Health Chief Information Officer R. McCarthy provided an update on UConn Health information technology activities.

8. Other Business

There was no Other Business.

9. Adjournment

On a motion by Trustee Dennis-LaVigne, seconded by Trustee Toscano, the Committee voted unanimously to adjourn the meeting. The Committee adjourned at 11:31 a.m.

Respectfully submitted,
Dorothy Del Valle
Secretary to the Committee