DRAFT MINUTES

University of Connecticut & UConn Health
Joint Audit & Compliance Committee

September 17, 2026
Virtual Meeting

Committee Members	Board of Trustees Mark Boxer, George Barrios, Daniel Toscano UConn Health Board of Directors Rick Carbray, Jr.
University Staff	Andrew Agwunobi, Pamir Alpay, Donald Babcock, Mike Dwyer, Kimberly Fearney, Nicole Gelston, Jeffrey Geoghegan, Haleh Ghaemolsabahi, Kimberly Hill, Andrea Keilty, Stacy Koehler, Radenka Maric, Margaret McCarthy, Rick McCarthy, Brett Paulson, Gregory Perrotti, Karen Preacher, Angelo Quaresima, Anthony Rini, Caryl Ryan, Janel Simpson, Scott Simpson, David Wallace
External Invitees	Jamie Drozdowski

Vice-Chair Boxer convened the Committee at 10:00 a.m.

1. Executive Session

   On a motion by Director Carbray, Jr., seconded by Trustee Toscano, the Committee voted unanimously to go into the Executive Session to discuss:

   - C.G.S. 1-210(b)(1) – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure; and
   - C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims; and
   - C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship; and
   - C.G.S. 1-210(b)(20) – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

   The entire Executive Session was attended by the following:

   Committee members: Boxer, Barrios, Carbray, Jr., and Toscano.

   University Staff: Agwunobi, Alpay, Dwyer, Fearney, Gelston, Geoghegan, Hill, Keilty, Koehler, Maric, McCarthy, Perrotti, Preacher, Quaresima, Rini, J. Simpson, and S. Simpson.

The following University staff were in attendance for part of the Executive Session: Babcock, Ghaemolsabahi, R. McCarthy, Paulson, and Wallace.

The Executive Session ended at 10:36 a.m., and the Committee returned to the Open Session at 10:37 a.m.

2. Public Participation

   No members of the public signed up to address the Committee.

3. Minutes of June 25, 2026, Meeting

   On a motion by Director Carbray, Jr., seconded by Trustee Barrios, the Committee voted to unanimously approve the minutes of June 25, 2026.

4. External Audit Activities

   Associate Vice President and Chief Audit Executive Quaresima provided an update on the status of external audit engagements.

   Mr. Quaresima requested the Committee approve the appointment of James Moore & Co to perform the required National Collegiate Athletic Association (NCAA) agreed upon procedures. On a motion by Trustee Toscano, seconded by Director Carbray, Jr., the Committee voted to unanimously approve the appointment of James Moore & Co. to conduct the NCAA agreed upon procedures.

   Jamie Drozdowski of the Auditors of Public Accounts presented the UConn Health Departmental Audit for Fiscal Years Ended June 30, 2023, and 2024.

5. Significant Internal Audit Activities

   Mr. Quaresima provided the Committee with updates on the status of audit assignments and internal audit observations. The Committee reviewed and accepted two audit reports.

   Mr. Quaresima presented the Committee with the draft FY27 Audit Plan for approval. On a motion by Director Carbray, Jr., seconded by Trustee Barrios, the Committee voted to unanimously approve the draft FY27 Audit Plan.

6. Compliance Activities

   Dr. Agwunobi, UConn Health Chief Executive Officer and Caryl Ryan, Chief Operating Officer and Chief Nursing Officer John Dempsey Hospital, provided the Committee with an update of John Dempsey Hospital at Solnit compliance activities.

   Vice President and Chief Compliance Officer Fearney provided the Committee with updates on significant compliance activities, including the Healthcare Compliance & Privacy Dashboard, as well as several informational/educational items.

7. Information Technology Updates

   UConn Interim Chief Information Officer Ghaemolsabahi provided an update on the UConn information technology activities.

UConn Health Chief Information Officer R. McCarthy provided an update on UConn Health information technology activities.

8. Other Business

   There was no Other Business.

9. Adjournment

   On a motion by Director Carbray, Jr., seconded by Trustee Barrios, the Committee voted unanimously to adjourn the meeting. The Committee adjourned at 11:18 a.m.

   Respectfully submitted,
   Dorothy Del Valle
   Secretary to the Committee